

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/751,836	12/29/2000	Philipp Heinz Schmid	M61.12-0323

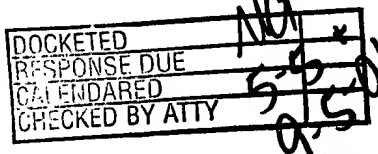
CONFIRMATION NO. 9264

FORMALITIES LETTER



OC000000005825521

Joseph R. Kelly
WESTMAN CHAMPLIN & KELLY
International Centre Suite 1600
900 South Second Avenue
Minneapolis, MN 55402-3319



Date Mailed: 03/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$772.

01 FC:101	710.00 OP
02 FC:105	130.00 OP
03 FC:103	612.00 OP
04 FC:102	160.00 OP
- \$612 for 34 total claims over 20.
- \$160 for 2 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1612.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



T
PATENT

\$ SECTOR

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named
Inventor : Philipp H. Schmid et al.

Appln. No.: 09/751,836

Filed : December 29, 2000

For : MIDDLEWARE LAYER BETWEEN SPEECH
RELATED APPLICATIONS AND
ENGINES

Docket No.: M61.12-0323

Group Art Unit:2641

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION - FILING DATE GRANTED
UNDER 37 C.F.R. §§ 1.53(f) AND 1.16(e)**

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING
SENT BY U.S. MAIL, FIRST CLASS, TO THE
ASSISTANT COMMISSIONER FOR PATENTS,
WASHINGTON, D.C. 20231, THIS

4th DAY OF May 2001

Joseph R. K...
PATENT ATTORNEY

Sir:

In response to the Notice to File Missing Parts of
Application - Filing Date Granted dated March 5, 2001, Applicant
encloses the following documents to complete the above-identified
patent application filed December 29, 2000:

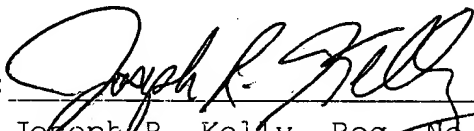
1. Our checks in the amount of \$1612.00 and \$40.00 (to
cover the filing fee \$1482 and surcharge fee of \$130
and Recordation Fee of \$40.00).
2. Combined Declaration and Power of Attorney executed by
the inventor(s).
3. Fee Calculation Sheet (duplicate).
4. Notice to File Missing Parts of Application-Filing Date
Granted.
5. Assignment Recordation Cover Sheet and Fee

6. Executed Assignment
7. 14 Sheets of formal drawings
8. Letter to Official Draftsperson

The Director is authorized to charge payment of any additional fees associated with this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this sheet is enclosed.

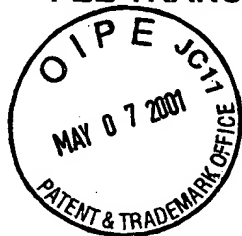
Respectfully submitted,
WESTMAN, CHAMPLIN & KELLY, P.A.

By: _____


Joseph R. Kelly, Reg. No. 34,847
Suite 1600 - International Centre
900 Second Avenue South
Minneapolis, Minnesota 55402-3319
Phone: (612) 334-3222 Fax: (612) 334-3312

JRK:slg

FEE TRANSMITTAL



Complete if Known

Application No.	09/751,836
Filing Date	December 29, 2000
First Named Inventor	Philipp H. Schmid et al.
Title	MIDDLEWARE LAYER BETWEEN SPEECH RELATED APPLICATIONS AND ENGINES
Group Art Unit	2641
Examiner Name	
Atty. Docket Number	M61.12-0323

Total Amount of Payment \$ 1652

METHOD OF PAYMENT (Check One)

1. ☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123. Westman, Champlin & Kelly, P.A.

2. ☒ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee	Small Entity Fee	Code	Fee Description
101	201	710 355	<input checked="" type="checkbox"/> Utility Filing Fee
106	206	320 160	<input type="checkbox"/> Design Filing Fee
108	208	710 355	<input type="checkbox"/> Reissue Filing Fee
114	214	150 75	<input type="checkbox"/> Prov. Filing Fee

Subtotal (1) \$ 710

2. EXTRA CLAIM FEES

	Number Claims	Prior**	Extra	Fee from Below	Fee Paid
Total	54	20	34	18	612
Indep.	5	3	2	80	160

Multiple Dependent Claims

** Insert 3 and 20, or number previously paid if greater; Reissue see below

Large Entity Fee	Small Entity Fee	Code	Description
103	203	18 9	Claims in excess of 20
102	202	80 40	Independent claims in excess of 3
104	204	270 135	Multiple Dependent Claims
109	209	80 40	Reissue Independent Claims over Original Patent
110	210	18 9	Reissue claims in excess of 20 and over original patent

Subtotal (2) \$ 772

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Large Entity Fee	Small Entity Fee	Code	Fee Description	Fee Paid
105	205	130 65	Surcharge - Late filing fee or oath	130
127	227	50 25	Surcharge - Late provisional Filing Fee or cover sheet	
139	239	130 130	Non-English specification	
147	247	2,520 2,520	For Filing a Request for Reexamination	
115	215	110 55	Extension for reply within first month	
116	216	390 195	Extension for reply within second month	
117	217	890 445	Extension for reply within third month	
118	218	1,390 695	Extension for reply within fourth month	
128	280	1,890 945	Extension for reply within fifth month	
120	220	310 155	Filing a brief in support of an appeal	
121	221	270 135	Request for oral hearing	
148	248	110 55	Terminal Disclaimer Fee	
140	240	110 55	Petition to Revive - unavoidable	
141	241	1,240 620	Petition to Revive - unintentional	
142	242	1,270 650	Utility/Reissue issue fee (inc. advance copies)	
143	243	470 250	Design issue fee (inc. advance copies)	
122	222	130 130	Petitions to the Commissioner	
123	223	50 50	Petitions related to provisional applications	
126	226	180 180	Submission of Information Disclosure Statement	
581	581	40 40	Recording each patent assignment per property (times number of properties)	40

Other Fee (specify) _____

Subtotal (3) \$170

Signature

(Joseph R. Kelly)

Reg. No. 34,847

Date 5-4-1

Deposit Account No. 23-1123